



Metamaterials & Chirality

Akhlesh Lakhtakia

*Department of Engineering Science & Mechanics
Pennsylvania State University*

1600 hrs, April 18, 2007

Department of Physics, Millersville University of Pennsylvania

ENGINEERING SCIENCE AND MECHANICS DEPARTMENT



**ENGINEERED MATERIALS, STRUCTURES,
DEVICES AND SYSTEMS**

ESM – Engineering the Frontiers of Science

NANOSCIENCE AND TECHNOLOGY



THE PENN STATE NANOFABRICATION FACILITY

Prof Fonash: Director

Center for Nanotechnology Education and Utilization

CENTER FOR INNOVATIVE SINTERED PRODUCTS

Director: Prof. Ivi Smid



**BOEING AIRLINER COMPONENT: NIST-ATP,
INDUSTRY, CISP: computer models for sintering 3D
components formed using molding.**

FACULTY/STAFF

- **20 Full Professors**
 - 3 Chairs, 2 Professorships, 4 Distinguished Professors
 - 8 Professors Emeriti
- **5 Associate Professors**
- **3 Assistant Professors**
- **10 Graduate Faculty (fixed term)**
- **Part-time instructors**
- **10 Office Staff + 2.3 faculty support staff (centers and faculty support additional staff)**

RECOGNITION

- Prof. Fonash: **Thomas D. Callinen Award, Electrochemical Society, for contributions to Dielectric Science and Technology**
- **Profs. Bakis and Rose, Fellow ASME International**
- **Prof. Hayek, Trente-Crede Silver Medal, Acoustical Society of America**
- **Prof. Messier, Howard B. Palmer Faculty Mentoring Award, PSES Outstanding Advising Award**
- **Prof. Engel, ASEE Board of Directors**
- **Prof. Todd, VP Manufacturing, ASME; Franklin Institute Committee on Arts and Sciences, CIC Fellow**
- **Prof. Zamrik, ESM Outstanding Alumnus**
- **Prof. Pangborn, McKay Donkin Award**
- **Prof. Shaw, Executive Committee, NACE**
- **Profs. Costanzo, Gray, GE Learning Excellence Award**
- **Akhlesh Lakhtakia, Binder Endowed Professor**

STUDENTS

- **~100 UNDERGRADUATES (60-70 jr/sr)**
- **116 GRADUATES (97M, 18F, 1M - 6IGDP)**
- **2002-03: 92 REFEREED PUBLICATIONS CO-AUTHORED BY STUDENTS**

STRATEGIC GOALS

1. **Develop new research, educational and outreach programs related to “*Bio-nano Science and Engineering*” in collaboration with the Materials Research Institute, Huck Life Sciences Institute, College of Engineering, College of Science and Hershey Medical Center.**

STRATEGIC GOALS

- 2. Develop new research, educational and outreach programs related to “Systems Health Monitoring – *for structures, systems and people*” in collaboration with the College of Engineering, Applied Research Laboratory and Hershey Medical Center.**

STRATEGIC GOALS

3. **Develop a new “*Center for Multiscale Wave-Materials Interactions*” (CMWMI) and associated research, educational and outreach programs in collaboration with the Applied Research Laboratory, Electro-Optics Center, College of Engineering and Materials Research Institute.**

STRATEGIC GOALS

- 4. Develop new recruitment strategies to attract faculty, graduate and undergraduate students and enhance our placement strategies for our students.**
- 5. Enhance our development activities, corporate and alumni relations to increase support for key ESM initiatives.**

PENNSYLVANIA STATE UNIVERSITY



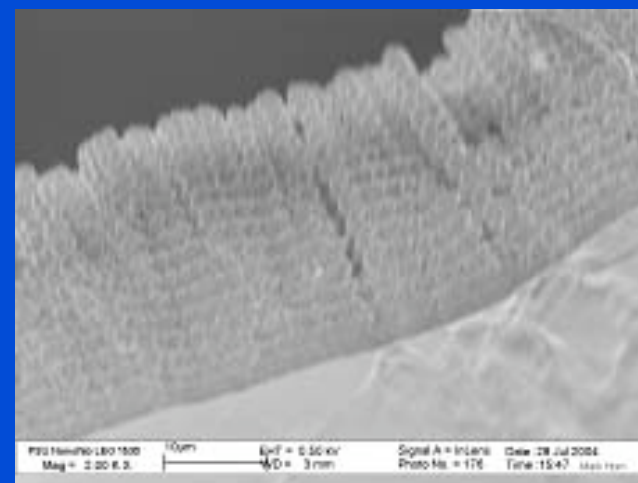
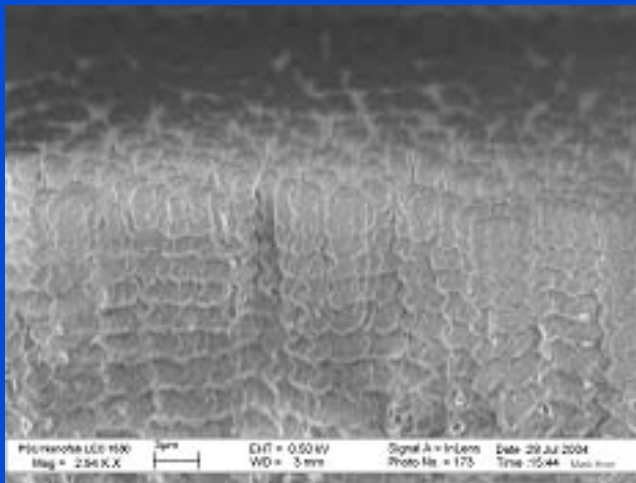
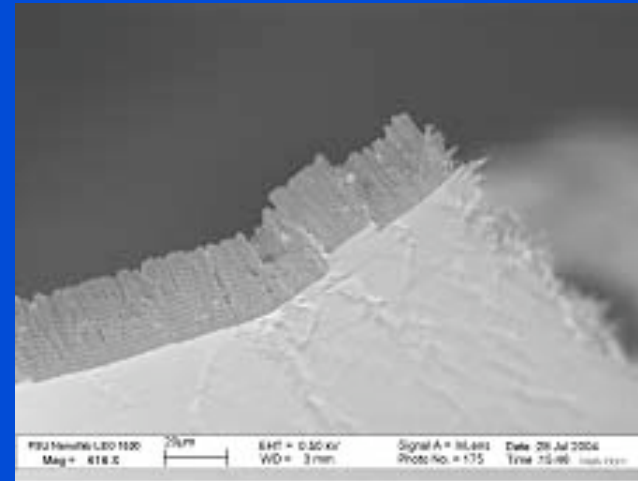
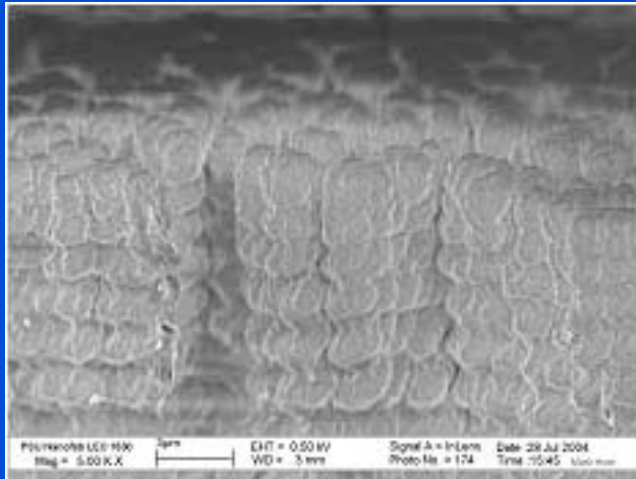
Engineering Science and Mechanics Department



Presented by the Engineering Science Undergraduate
Student Council

What does
Engineering Science do?

Penn State Engineering Science



Sean Pursel uses a Scanning Electron Microscope (SEM) to view polymeric sculptured thin films

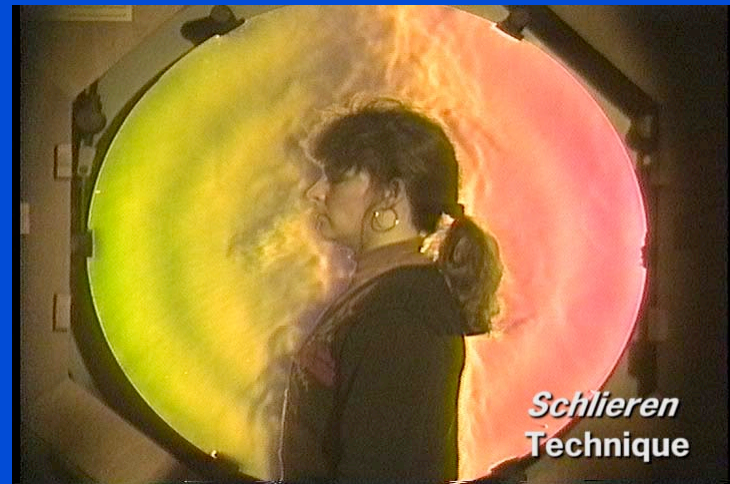
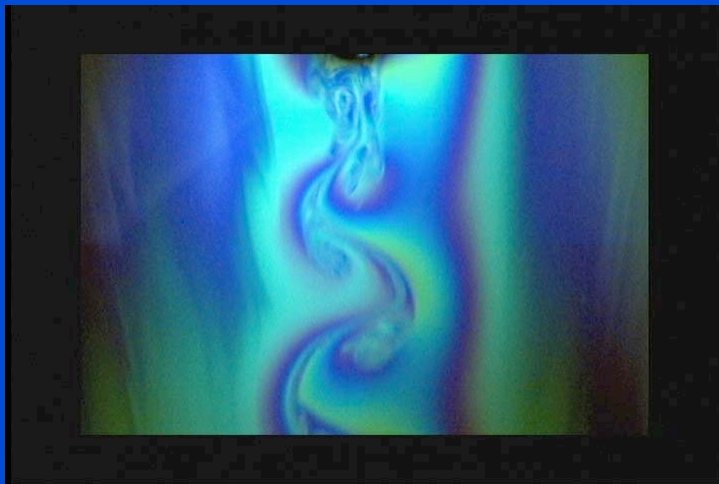
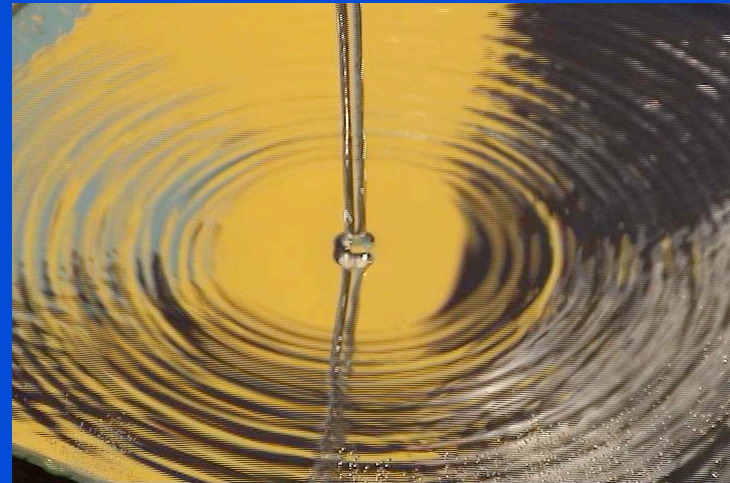
Engineering Science and Mechanics

engineering the frontiers of science . . .



Alex Robinson simulates nitrogen molecules in collision,
and examines how those molecules breakdown

Penn State Engineering Science



Gabrielle Tremblay creates visuals of fluid mechanics. These will be used in a DVD to teach other undergraduate engineers.

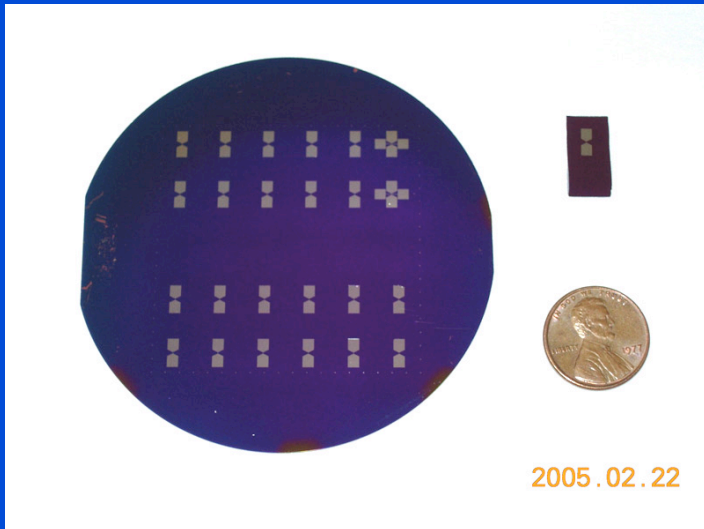
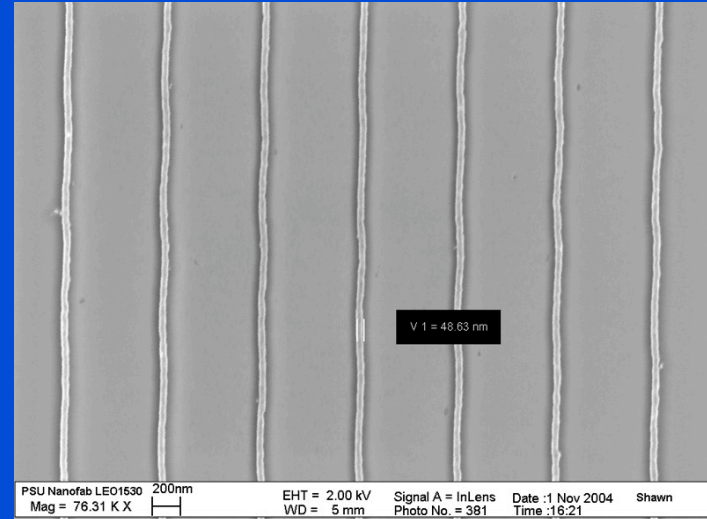
Engineering Science and Mechanics

engineering the frontiers of science . . .



Jed Schober
developed a new
design for the rear
suspension of a
mountain bike. He is
now building and
testing that design.

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Myo Thein makes gas sensors that are based on nanowires.

Engineering Science and Mechanics *engineering the frontiers of science . . .*



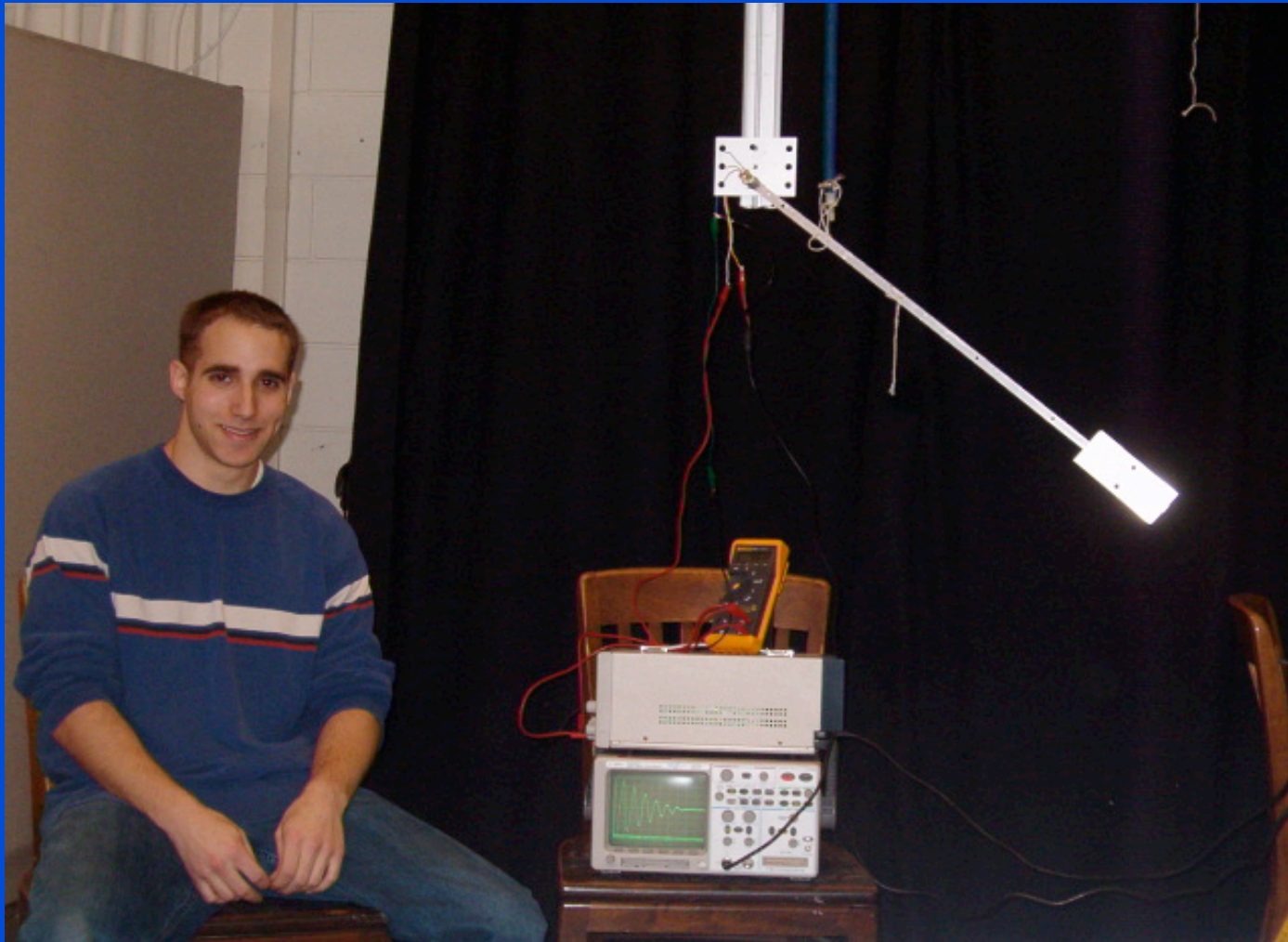
Ryan Melnychuk is studying the absorption of laser light in metal powders.

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Tom Fina is searching for defects in the breech section of an army tank's gun barrel. To do this, he is using guided waves and ultrasonic technologies.

Engineering Science and Mechanics *engineering the frontiers of science . . .*



Brett Shapiro is studying the methods for a semi-active damping control system.

Noninvasive Insulin Delivery and Glucose Monitoring Using a Low-Profile Ultrasound Array



Penn State University, University Park

Shelby Fidler helps develop a new method of drug delivery

Penn State Engineering Science



And yeah. . . we hang out too

What does
Engineering Science do?

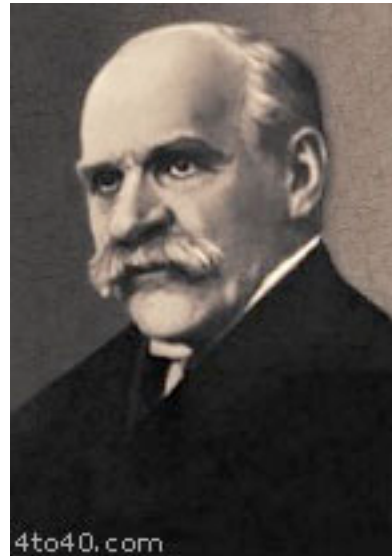
Whatever you want it to. . . .

- Laser Absorption
- Fluid Visualization
- Material Testing
- Mountain bike suspension
- Sterling Engines
- Drug Transfusion
- Guided Waves
- Nanotechnology
- Thin Films
- Microscopy
- Etc. . . .



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J.B.S. Haldane

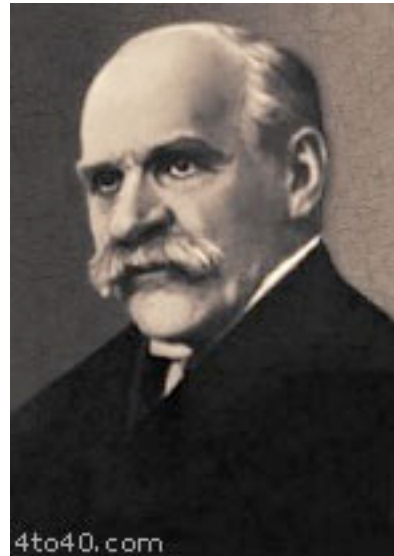


The Creator, if he exists, has ...



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J.B.S. Haldane



... an inordinate fondness for beetles.



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... an inordinate fondness for beetles.



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Engineers

have had an inordinate fondness

for

composite materials...



... right from the Bronze Age.



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Composite Materials



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Conspirator-in-Chief: Tom G. Mackay

School of Mathematics, University of Edinburgh





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Frontiers of Materials Research



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Evolution of *Materials Research Frontiers*

- Material Properties (< 1970)



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Evolution of *Materials Research Frontiers*

- Design for Functionality
(ca.1980)



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Evolution of *Materials Research Frontiers*

- Design for System
Performance (ca. 2000)



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Multifunctionality



Thanks: Chuck Bakis



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Multifunctionality

Performance Requirements on the Fuselage



1. Light weight (for fuel efficiency)
2. High stiffness (resistance to deformation)
3. High strength (resistance to rupture)
4. High acoustic damping (quieter cabin)
5. Low thermal conductivity (less condensation; more humid cabin)



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Multifunctionality

Performance Requirements on the Fuselage

1. Light weight (for fuel efficiency)
2. High stiffness (resistance to deformation)
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4. High acoustic damping (quieter cabin)
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Future: Conducting fibers for

- (i) reinforcement
- (ii) antennas
- (iii) environmental sensing
- (iv) structural health monitoring
- (iv) morphing



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Evolution of *Materials Research Frontiers*

- Material Properties (< 1970)
- Design for Functionality (ca. 1980)
- Design for System Performance (ca. 2000)



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Metamaterials

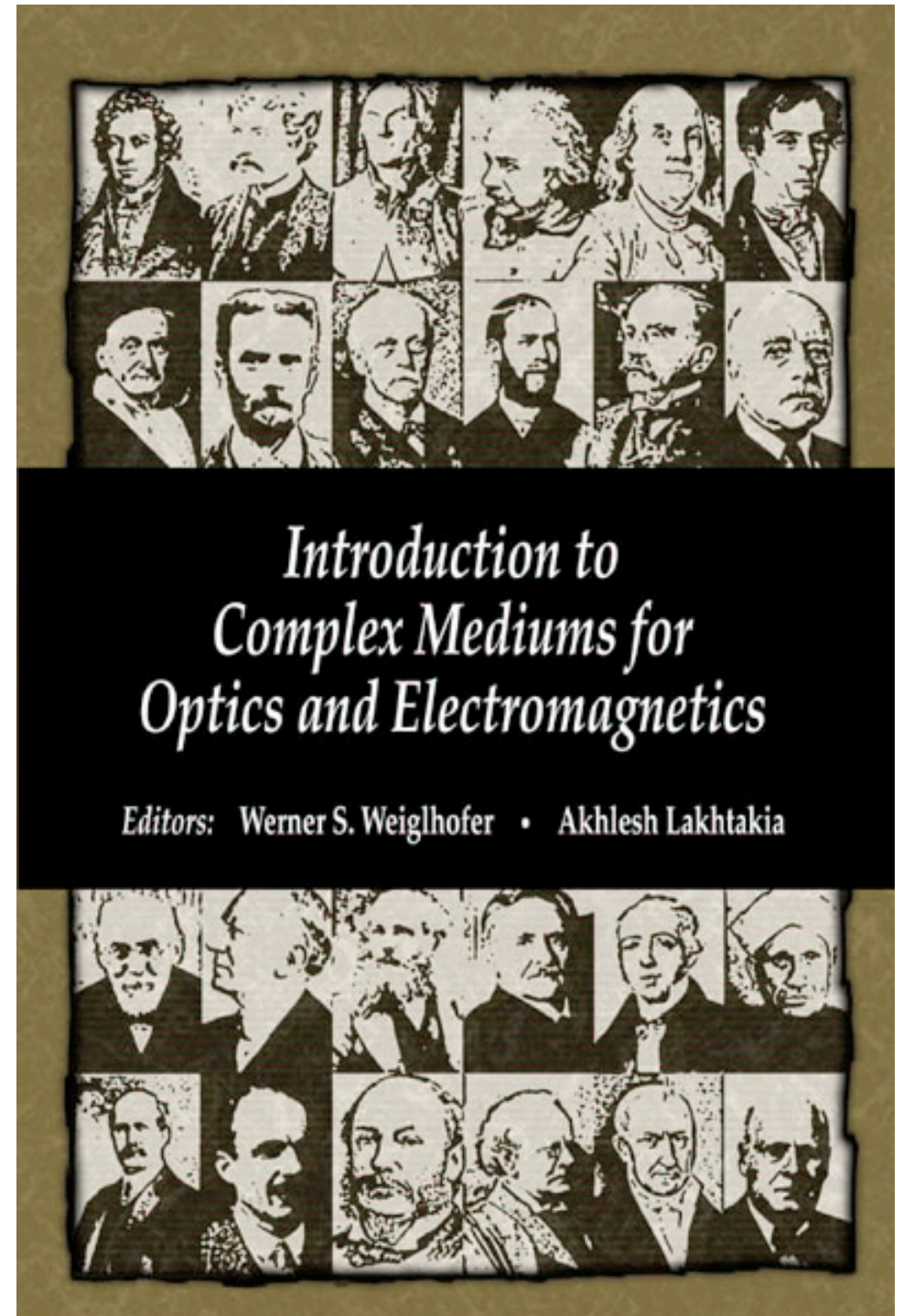


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Metamaterials

Rodger Walsler

SPIE Press (2003)





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Walser's Definition (2001/2)

- macroscopic composites having a manmade, three-dimensional, periodic cellular architecture designed to produce an optimized combination, not available in nature, of two or more responses to specific excitation



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Walser's Definition (2001/2)

manmade



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Walser's Definition (2001/2)

three-dimensional



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Walser's Definition (2001/2)

cellular

periodic



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Walser's Definition (2001/2)

designed to
produce an optimized combination
of two or more
responses to specific excitation



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Walser's Definition (2001/2)

available in nature

not



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Walser's Definition (2001/2)

available in nature

not

D.G. Stavenga, Invertebrate superposition eye-structures that behave like metamaterial with negative refractive index, *JEOS-RP 1*, 06010 (2006).



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Walser's Definition (2001/2)

- macroscopic composites having a manmade, three-dimensional, periodic cellular architecture designed to produce an optimized combination, not available in nature, of two or more responses to specific excitation



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Working Definition

‘Metamaterial’

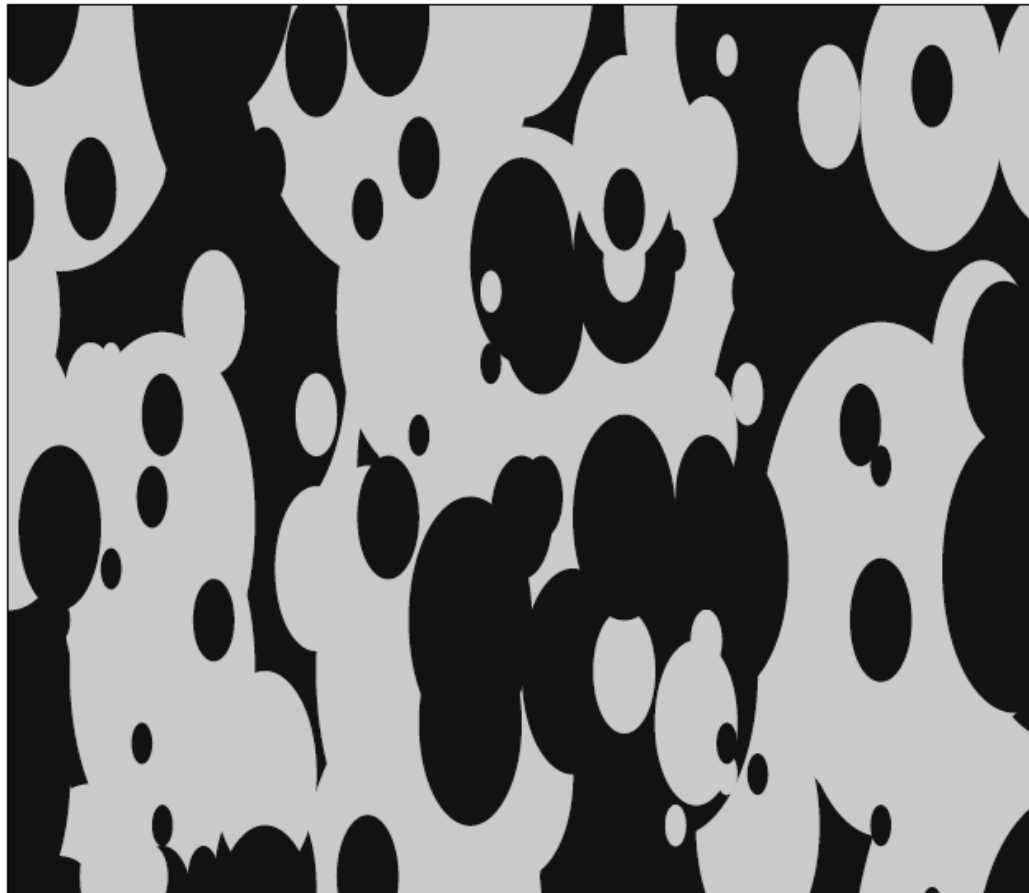
– composite which exhibits properties:

- * not observed in constituents

or

- * enhanced relative to properties of constituents

Examples:
Particulate Composite Materials
with ellipsoidal inclusions



$\lambda \gg$ inclusion size



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Homogenizable Metamaterials

- Enhancement of group velocity



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Homogenizable Metamaterials

- Enhancement of nonlinearity



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Homogenizable Metamaterials

- Voigt wave propagation (degenerate eigenvectors)



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Homogenizable Metamaterials

- **Bianisotropy** (e.g., Faraday chiral medium)



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Homogenizable Metamaterials

- Negative phase velocity
(isotropy/anisotropy)



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Homogenizable Metamaterials

- Enhancement of group velocity
- Enhancement of nonlinearity
- Voigt wave propagation
- Bianisotropy
- Negative phase velocity

http://www.esm.psu.edu/~axl4/lakhtakia/documents/Mackay_06_6MRI.pdf



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Composite Materials with Viscoelastic Stiffness Greater Than Diamond

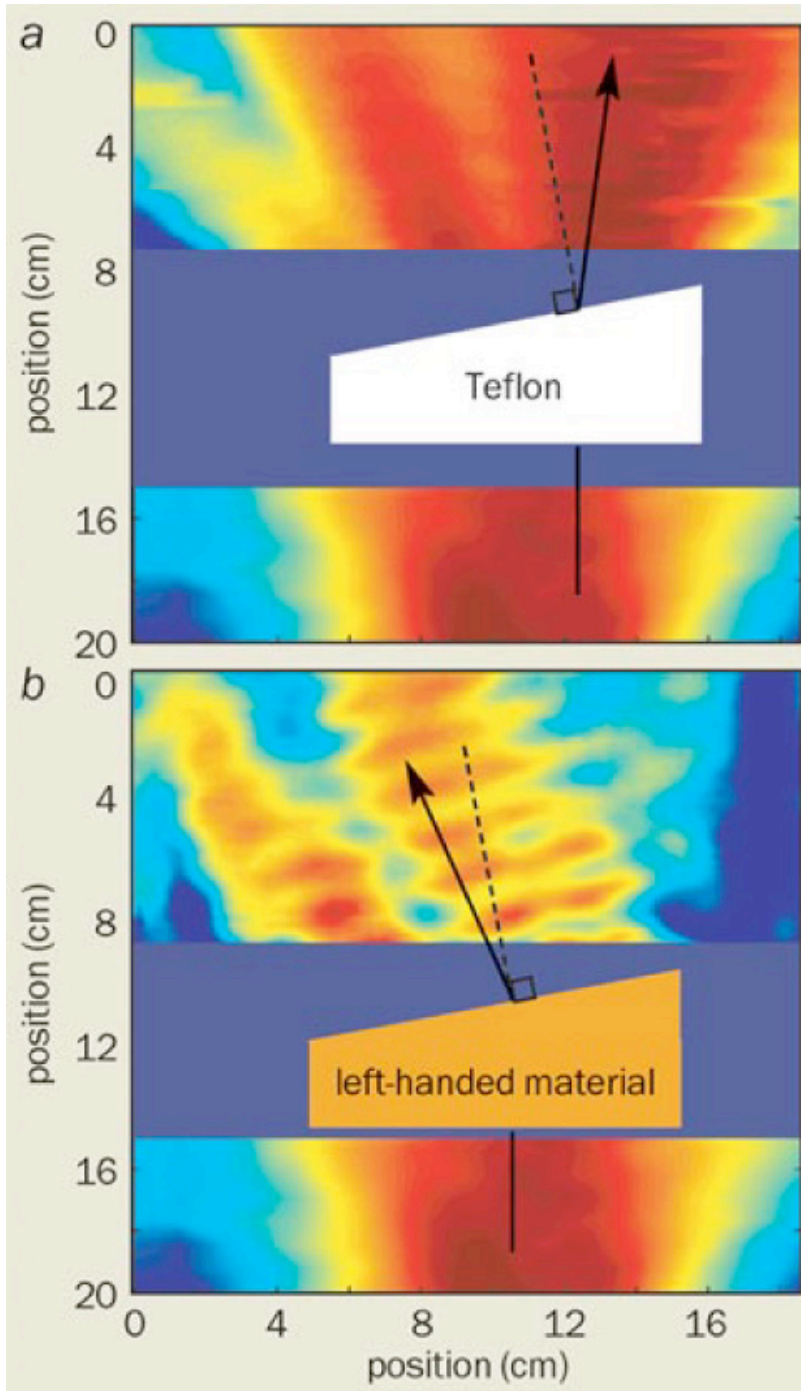
T. Jaglinski,¹ D. Kochmann,² D. Stone,³ R. S. Lakes^{4*}

We show that composite materials can exhibit a viscoelastic modulus (Young's modulus) that is far greater than that of either constituent. The modulus, but not the strength, of the composite was observed to be substantially greater than that of diamond. These composites contain barium-titanate inclusions, which undergo a volume-change phase transformation if they are not constrained. In the composite, the inclusions are partially constrained by the surrounding metal matrix. The constraint stabilizes the negative bulk modulus (inverse compressibility) of the inclusions. This negative modulus arises from stored elastic energy in the inclusions, in contrast to periodic composite metamaterials that exhibit negative refraction by inertial resonant effects. Conventional composites with positive-stiffness constituents have aggregate properties bounded by a weighted average of constituent properties; their modulus cannot exceed that of the stiffest constituent.



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Negative Phase Velocity



material with $n > 0$

material with $n < 0$



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What to make of it?

INSTITUTE OF PHYSICS PUBLISHING

EUROPEAN JOURNAL OF PHYSICS

Eur. J. Phys. **23** (2002) 353–359

PII: S0143-0807(02)31789-6

The negative index of refraction demystified

Martin W McCall^{1,4}, Akhlesh Lakhtakia² and
Werner S Weiglhofer³

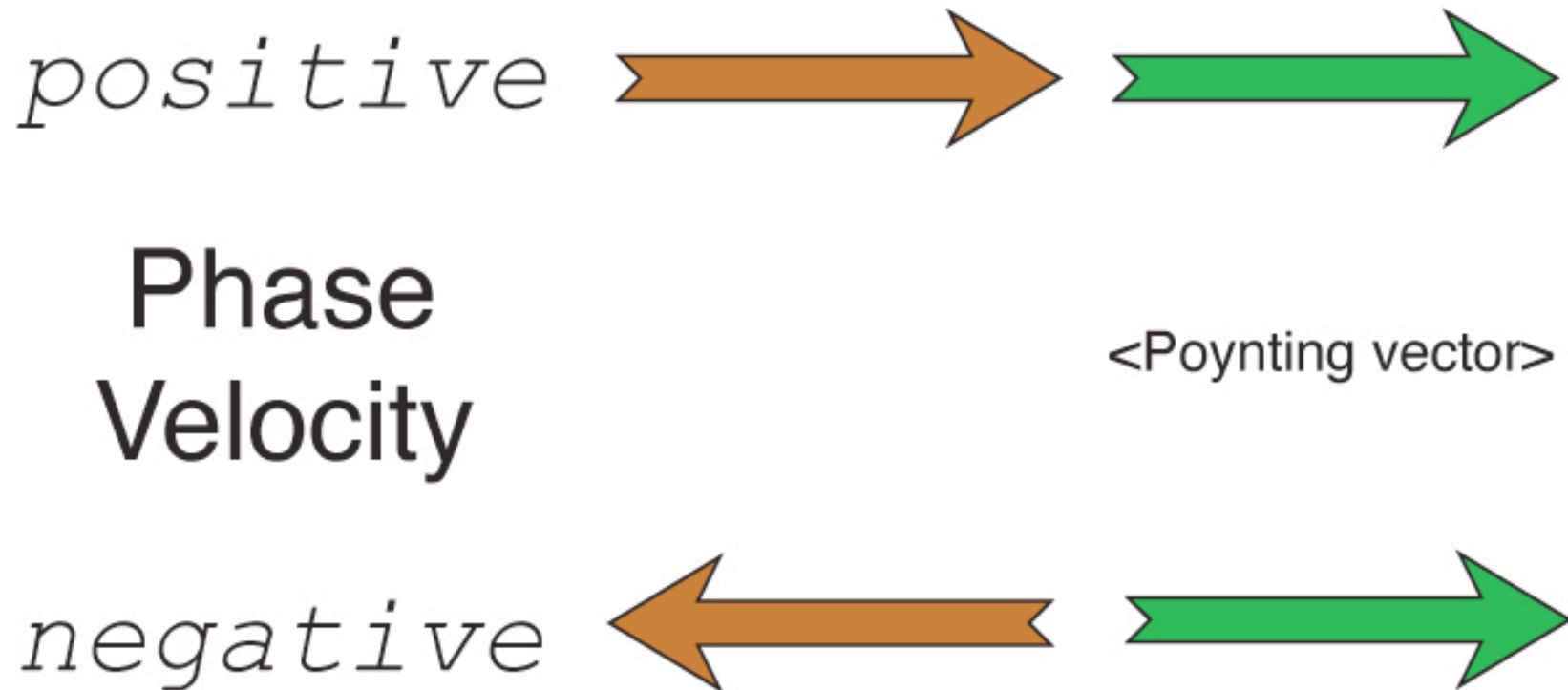


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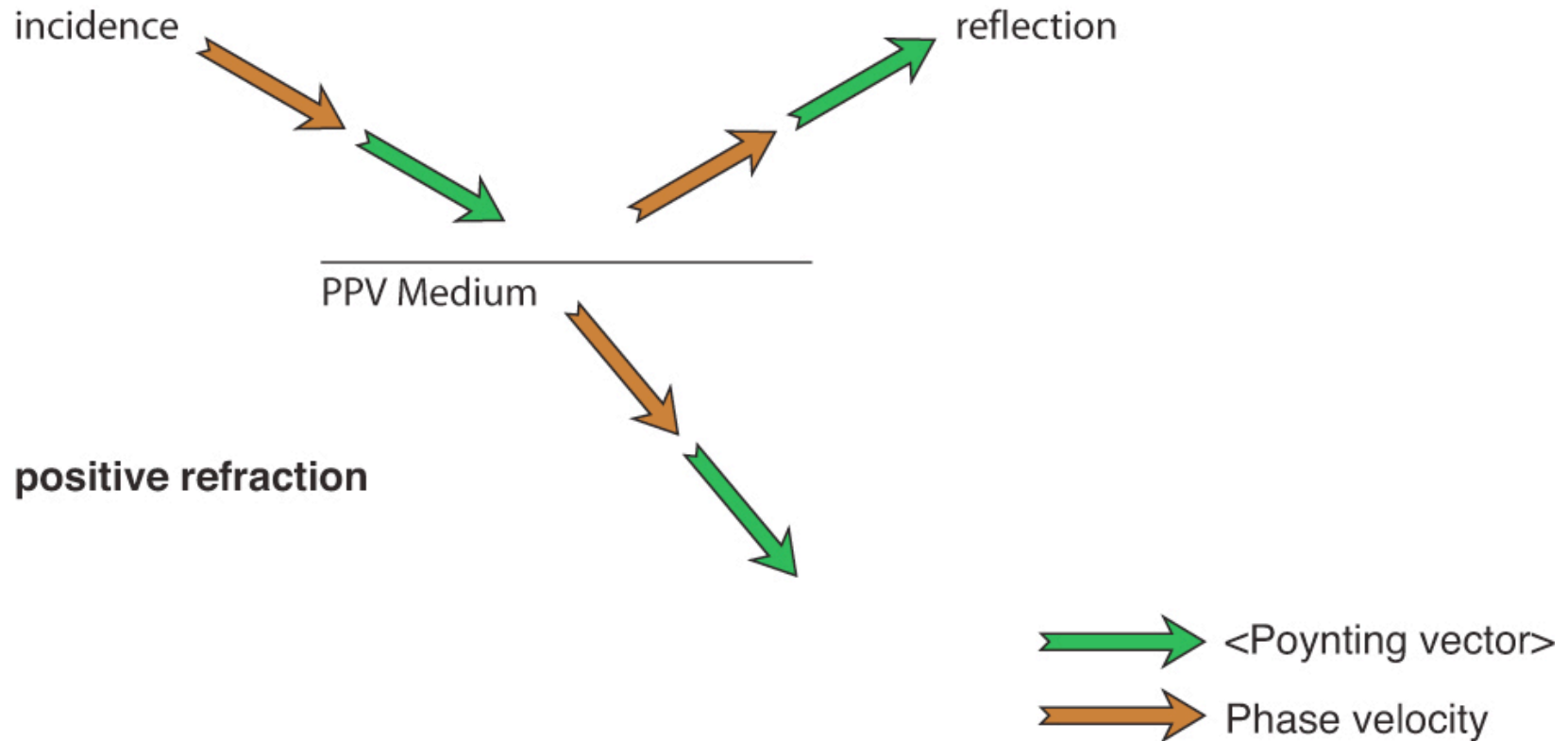
Two Important Quantities

- Phase velocity vector
- Time-averaged Poynting vector
= direction of energy flow & attenuation

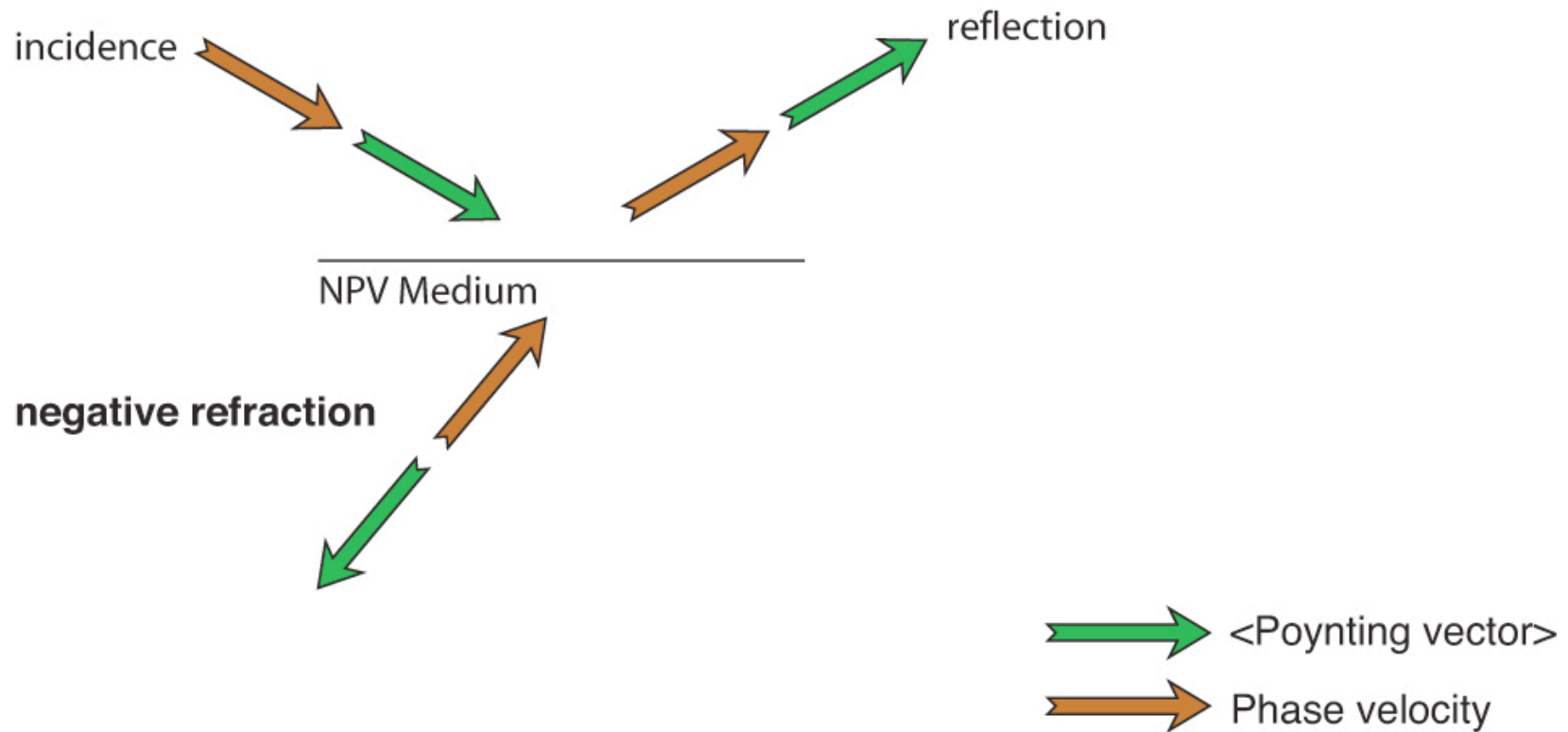
Positive/Negative *Phase Velocity Medium*



Positive Refraction by Isotropic Medium



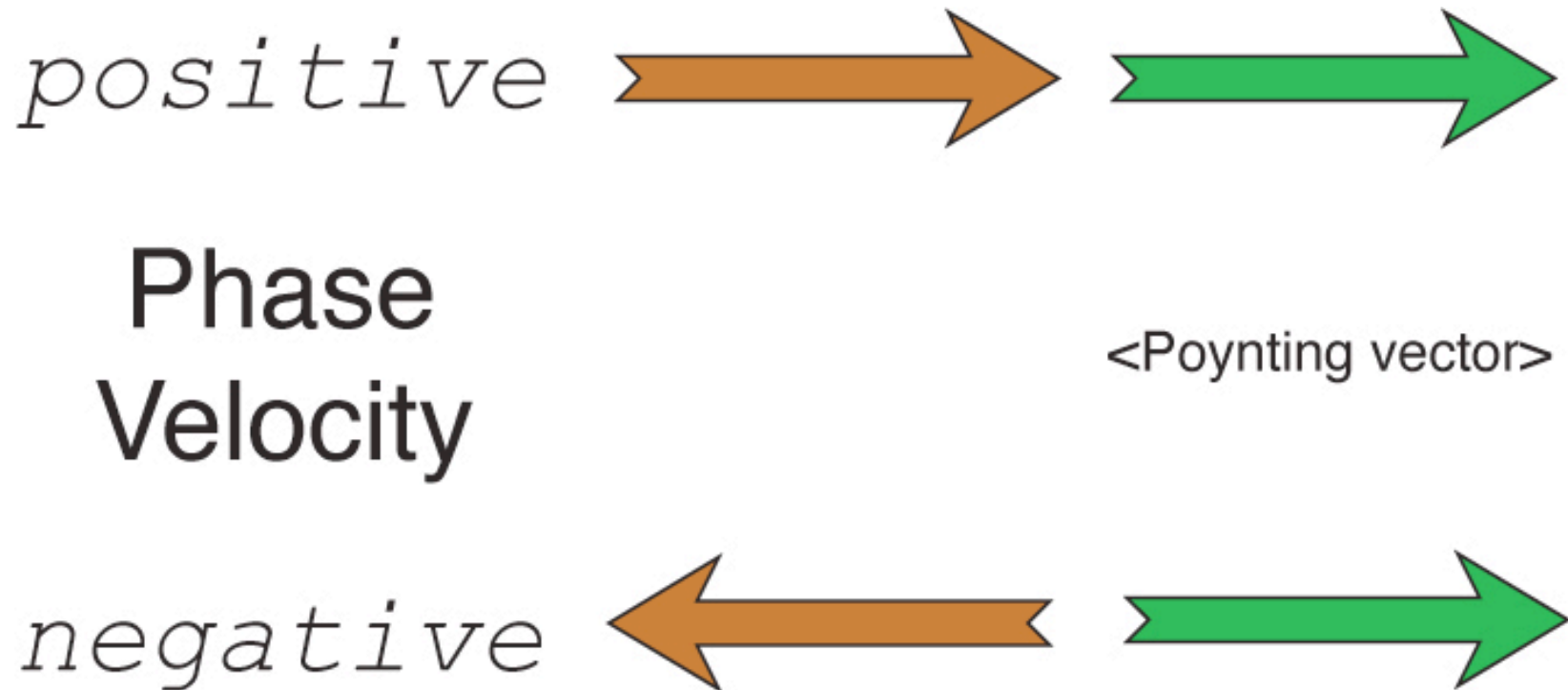
Negative Refraction by Isotropic Medium





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NPV in Simple Mediums





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NPV in Bianisotropic Mediums

PHYSICAL REVIEW E **69**, 026602 (2004)

Plane waves with negative phase velocity in Faraday chiral mediums

Tom G. Mackay*

*School of Mathematics, James Clerk Maxwell Building, The King's Buildings, University of Edinburgh,
Edinburgh EH9 3JZ, United Kingdom*

Akhlesh Lakhtakia[†]

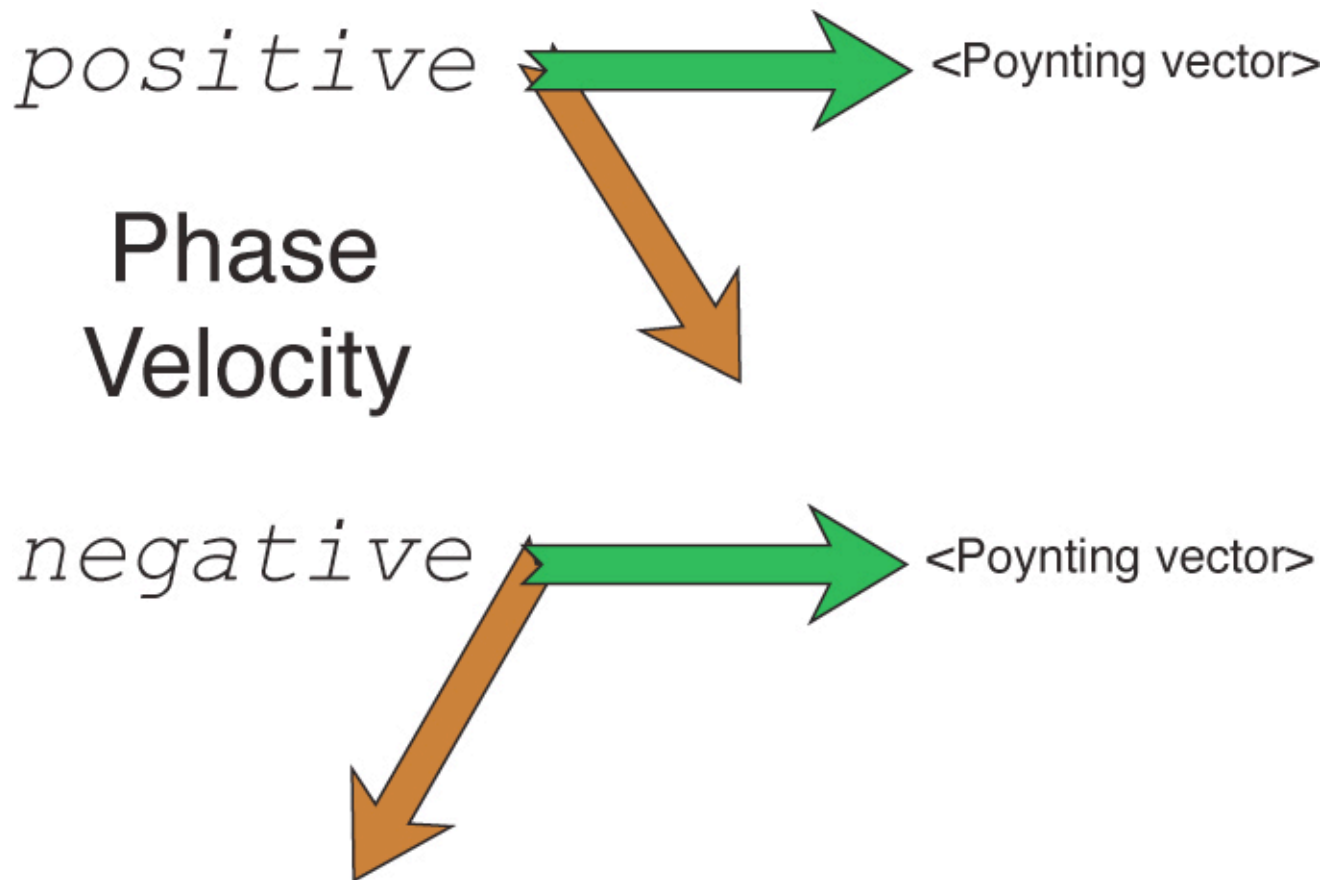
*CATMAS – Computational and Theoretical Materials Sciences Group, Department of Engineering Science and Mechanics,
Pennsylvania State University, University Park, Pennsylvania 16802-6812, USA*

(Received 18 July 2003; published 10 February 2004)



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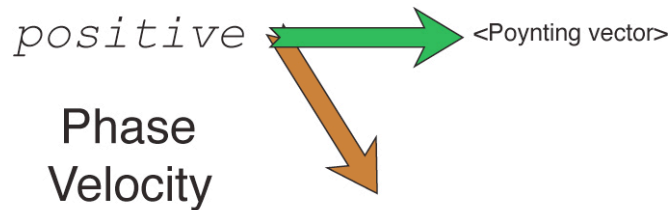
NPV in Bianisotropic Mediums



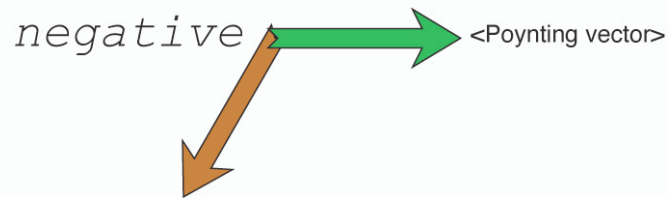


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NPV in Bianisotropic Mediums



$$\text{Re}\{\mathbf{k}\} \cdot \langle \mathbf{P} \rangle > 0$$



$$\text{Re}\{\mathbf{k}\} \cdot \langle \mathbf{P} \rangle < 0$$

\mathbf{k} = wave vector



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Chirality





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Types of Chirality



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Types of Chirality

(a) Microscopic/Microstructural

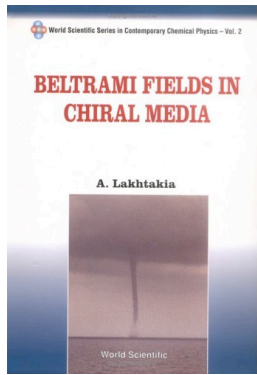
(i) Isotropic

$$\underline{D}(\underline{r}) = \epsilon_0 \epsilon \underline{E}(\underline{r}) + i\sqrt{\epsilon_0\mu_0} \xi \underline{H}(\underline{r})$$

$$\underline{B}(\underline{r}) = -i\sqrt{\epsilon_0\mu_0} \xi \underline{E}(\underline{r}) + \mu_0 \mu \underline{H}(\underline{r})$$

Types of Chirality

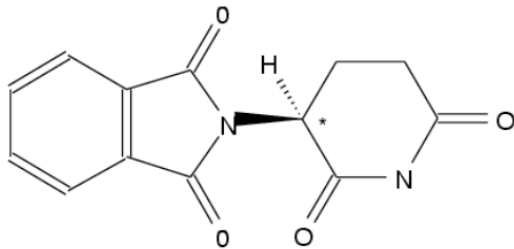
(a) Microscopic/Microstructural



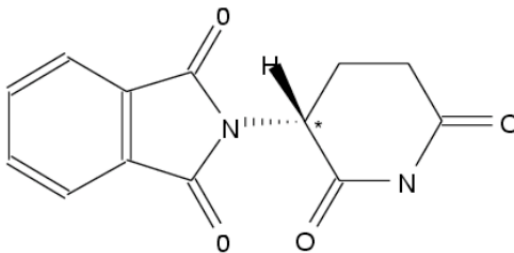
(i) Isotropic

$$\underline{D}(\underline{r}) = \epsilon_0 \epsilon \underline{E}(\underline{r}) + i\sqrt{\epsilon_0 \mu_0} \xi \underline{H}(\underline{r})$$

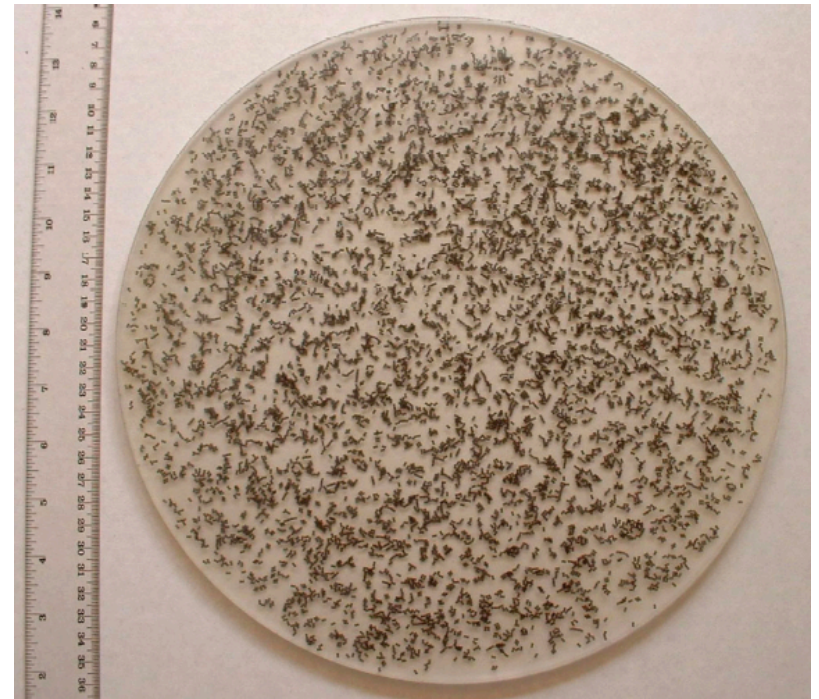
$$\underline{B}(\underline{r}) = -i\sqrt{\epsilon_0 \mu_0} \xi \underline{E}(\underline{r}) + \mu_0 \mu \underline{H}(\underline{r})$$



Enantiomère (S) : tératogène



Enantiomère (R) : Non-toxique



Courtesy:
Á. Gómez,
Univ. Cantabria

Types of Chirality

(a) Microscopic/Microstructural

(i) Isotropic

$$\underline{D}(\underline{r}) = \epsilon_0 \epsilon \underline{E}(\underline{r}) + i\sqrt{\epsilon_0\mu_0} \xi \underline{H}(\underline{r})$$

$$\underline{B}(\underline{r}) = -i\sqrt{\epsilon_0\mu_0} \xi \underline{E}(\underline{r}) + \mu_0 \mu \underline{H}(\underline{r})$$

(ii) Faraday chiral

$$\underline{D}(\underline{r}) = \underline{\underline{\epsilon}} \cdot \underline{E}(\underline{r}) + \underline{\underline{\xi}} \cdot \underline{H}(\underline{r})$$

$$\underline{B}(\underline{r}) = -\underline{\underline{\xi}} \cdot \underline{E}(\underline{r}) + \underline{\underline{\mu}} \cdot \underline{H}(\underline{r})$$

$$\underline{\underline{\epsilon}} = \epsilon_0 \left[\epsilon \underline{\underline{I}} - i\epsilon_g \hat{\underline{z}} \times \underline{\underline{I}} + (\epsilon_z - \epsilon) \hat{\underline{z}} \hat{\underline{z}} \right]$$

$$\underline{\underline{\xi}} = i\sqrt{\epsilon_0\mu_0} \left[\xi \underline{\underline{I}} - i\xi_g \hat{\underline{z}} \times \underline{\underline{I}} + (\xi_z - \xi) \hat{\underline{z}} \hat{\underline{z}} \right]$$

$$\underline{\underline{\mu}} = \mu_0 \left[\mu \underline{\underline{I}} - i\mu_g \hat{\underline{z}} \times \underline{\underline{I}} + (\mu_z - \mu) \hat{\underline{z}} \hat{\underline{z}} \right]$$



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Types of Chirality

(a) Microscopic/Microstructural

(i) Isotropic

$$\underline{D}(\underline{r}) = \epsilon_0 \epsilon \underline{E}(\underline{r}) + i\sqrt{\epsilon_0 \mu_0} \xi \underline{H}(\underline{r})$$

$$\underline{B}(\underline{r}) = -i\sqrt{\epsilon_0 \mu_0} \xi \underline{E}(\underline{r}) + \mu_0 \mu \underline{H}(\underline{r})$$

(ii) Faraday chiral

$$\underline{D}(\underline{r}) = \underline{\epsilon} \cdot \underline{E}(\underline{r}) + \underline{\xi} \cdot \underline{H}(\underline{r})$$

$$\underline{B}(\underline{r}) = -\underline{\xi} \cdot \underline{E}(\underline{r}) + \underline{\mu} \cdot \underline{H}(\underline{r})$$

$$\underline{\epsilon} = \epsilon_0 \left[\epsilon \underline{I} - i\epsilon_g \hat{z} \times \underline{I} + (\epsilon_z - \epsilon) \hat{z} \hat{z} \right]$$

$$\underline{\xi} = i\sqrt{\epsilon_0 \mu_0} \left[\xi \underline{I} - i\xi_g \hat{z} \times \underline{I} + (\xi_z - \xi) \hat{z} \hat{z} \right]$$

$$\underline{\mu} = \mu_0 \left[\mu \underline{I} - i\mu_g \hat{z} \times \underline{I} + (\mu_z - \mu) \hat{z} \hat{z} \right]$$

(iii) Nonhomogeneous Variants

Frequency-domain constitutive equations

Types of Chirality

(b) Macrostructural

$$\underline{D}(\underline{r}) = \underline{S}(z) \cdot \left[\underline{\epsilon}_{ref} \cdot \underline{S}^T(z) \cdot \underline{E}(\underline{r}) + \underline{\xi}_{ref} \cdot \underline{S}^T(z) \cdot \underline{H}(\underline{r}) \right]$$

$$\underline{B}(\underline{r}) = \underline{S}(z) \cdot \left[\underline{\zeta}_{ref} \cdot \underline{S}^T(z) \cdot \underline{E}(\underline{r}) + \underline{\mu}_{ref} \cdot \underline{S}^T(z) \cdot \underline{H}(\underline{r}) \right]$$

Linear
Bianisotropic
Materials

$$\begin{aligned} \underline{S}_x(z) &= \mathbf{u}_x \mathbf{u}_x + (\mathbf{u}_y \mathbf{u}_y + \mathbf{u}_z \mathbf{u}_z) \cos \xi(z) \\ &\quad + (\mathbf{u}_z \mathbf{u}_y - \mathbf{u}_y \mathbf{u}_z) \sin \xi(z), \\ \underline{S}_y(z) &= \mathbf{u}_y \mathbf{u}_y + (\mathbf{u}_x \mathbf{u}_x + \mathbf{u}_z \mathbf{u}_z) \cos \tau(z) \\ &\quad + (\mathbf{u}_z \mathbf{u}_x - \mathbf{u}_x \mathbf{u}_z) \sin \tau(z), \\ \underline{S}_z(z) &= \mathbf{u}_z \mathbf{u}_z + (\mathbf{u}_x \mathbf{u}_x + \mathbf{u}_y \mathbf{u}_y) \cos \zeta(z) \\ &\quad + (\mathbf{u}_y \mathbf{u}_x - \mathbf{u}_x \mathbf{u}_y) \sin \zeta(z). \end{aligned}$$



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Types of Chirality

(b) Macrostructural

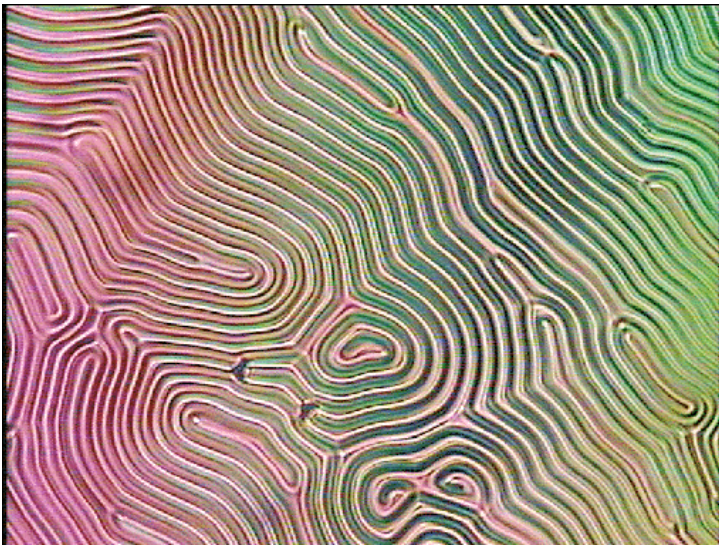
Dielectric Materials

$$\begin{aligned}\mathbf{D}(\mathbf{r}, \omega) &= \epsilon_0 \underline{\underline{\epsilon}}_r(z, \omega) \cdot \mathbf{E}(\mathbf{r}, \omega) \\ &= \epsilon_0 \underline{\underline{S}}(z) \cdot \underline{\underline{\epsilon}}_{ref}(\omega) \cdot \underline{\underline{S}}^T(z) \cdot \mathbf{E}(\mathbf{r}, \omega), \\ \mathbf{B}(\mathbf{r}, \omega) &= \mu_0 \mathbf{H}(\mathbf{r}, \omega).\end{aligned}$$

Local Orthorhombicity

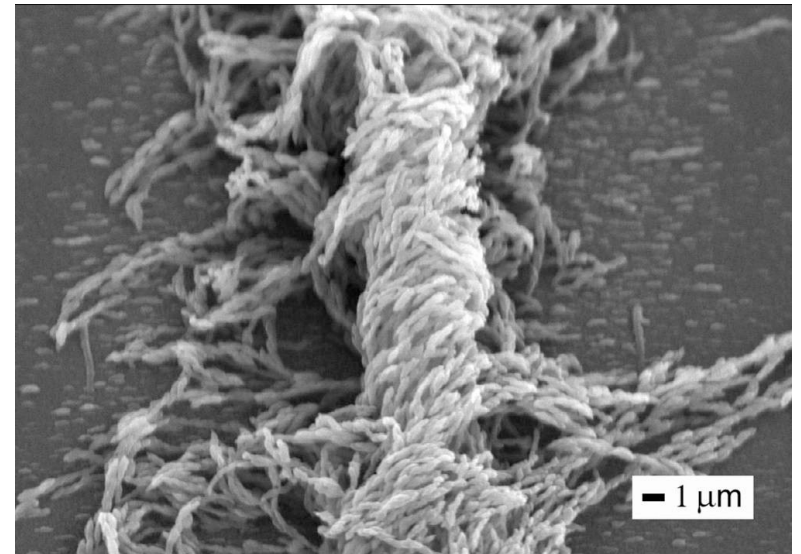
Types of Chirality

(b) Macrostructural



<http://www.mc2.chalmers.se/pl/lc/engelska/gallery/fingerprint.html>

Cholesteric LC with
helical axis in the
substrate plane

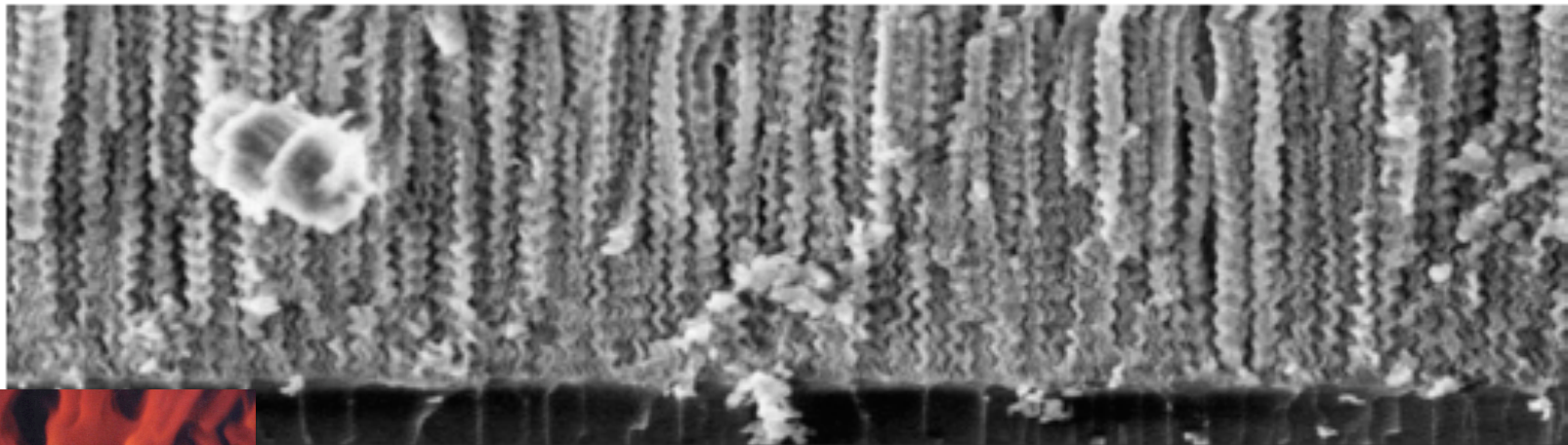


<http://www.lcd.kent.edu/images/4.htm>

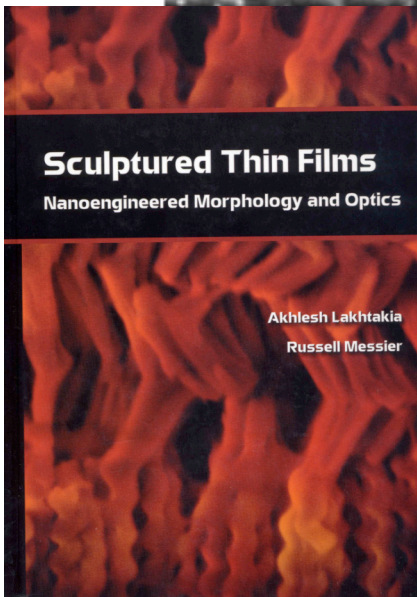
Twisted-grain polymer
morphology due to a
cholesteric LC host

Types of Chirality

(b) Macrostructural



1 μ m
EHT = 2.00 kV Signal A = InLens PSU Nanofab LEO 1530
WD = 1 mm Photo No. = 9780 Time :14:59 Date :16 Jul 2003



Sculptured Thin Films
Nanoengineered Morphology and Optics

Akhlesh Lakhtakia
Russell Messier

Chiral sculptured thin film



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Isotropic Chiral Medium



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Isotropic Chiral Medium

$$\underline{D}(\underline{r}) = \epsilon_0 \epsilon \underline{E}(\underline{r}) + i\sqrt{\epsilon_0 \mu_0} \xi \underline{H}(\underline{r})$$

$$\underline{B}(\underline{r}) = -i\sqrt{\epsilon_0 \mu_0} \xi \underline{E}(\underline{r}) + \mu_0 \mu \underline{H}(\underline{r})$$

PLANE WAVES WITH NEGATIVE PHASE VELOCITY IN ISOTROPIC CHIRAL MEDIUMS

Tom G. Mackay
School of Mathematics
University of Edinburgh
James Clerk Maxwell Building
King's Buildings
Edinburgh EH9 3JZ, UK



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Isotropic Chiral Medium

Plane waves

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_0 \exp(ik \hat{\mathbf{k}} \cdot \mathbf{r})$$

$$\mathbf{H}(\mathbf{r}) = \mathbf{H}_0 \exp(ik \hat{\mathbf{k}} \cdot \mathbf{r})$$

NPV condition

$$\text{Re} [\mathbf{k}] \cdot \langle \mathbf{P} \rangle < 0$$

4 wavenumbers

$$k^{(i)} = -\omega \sqrt{\epsilon_0 \mu_0} (\sqrt{\epsilon \mu} + \xi)$$

$$k^{(ii)} = \omega \sqrt{\epsilon_0 \mu_0} (\sqrt{\epsilon \mu} - \xi)$$

$$k^{(iii)} = -\omega \sqrt{\epsilon_0 \mu_0} (\sqrt{\epsilon \mu} - \xi)$$

$$k^{(iv)} = \omega \sqrt{\epsilon_0 \mu_0} (\sqrt{\epsilon \mu} + \xi)$$



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Isotropic Chiral Medium

2 NPV Conditions

$$\operatorname{Re} \left\{ \sqrt{\epsilon\mu} + \xi \right\} \times \operatorname{Re} \left\{ \sqrt{\frac{\epsilon^*}{\mu^*}} \right\} < 0 \quad \text{for} \quad k = k^{(i),(iv)}$$

$$\operatorname{Re} \left\{ \sqrt{\epsilon\mu} - \xi \right\} \times \operatorname{Re} \left\{ \sqrt{\frac{\epsilon^*}{\mu^*}} \right\} < 0 \quad \text{for} \quad k = k^{(ii),(iii)}$$

$$\sqrt{\epsilon\mu} < -\xi \quad \text{for} \quad k = k^{(i),(iv)}$$

Negligible dissipation

$$\sqrt{\epsilon\mu} < \xi \quad \text{for} \quad k = k^{(ii),(iii)}$$



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Isotropic Chiral Medium

2 Beltrami modes

Planewave propagation in a specific direction

Both PPV

$$\text{Re}[k] > 0$$

Both NPV

$$\text{Re}[k] < 0$$

Both IPV

$$\text{Re}[k] = 0$$

1 NPV, 1 PPV

1 IPV, 1 PPV

1 IPV, 1NPV



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Isotropic Chiral Medium

Advantage: Birefringence

Refraction can create two channels.



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Isotropic Chiral Medium

Advantage: Birefringence

Refraction can create two channels.

Challenge: Can ξ be large enough

so that

one channel is NPV,

the other PPV?



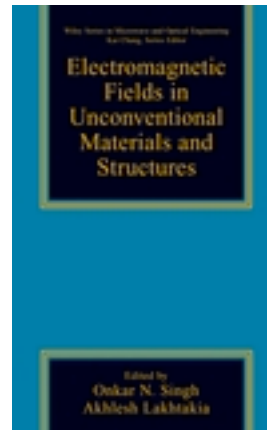
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Assessment



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Assessment



- Anisotropy: the direction-dependent contraction of space and absorption
- Chirality: the twisting of space
- Nonhomogeneity: the redirection of energy into different directions by material interfaces
- Nonlinearity: the emission of absorbed energy at (generally) some other frequency



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Assessment

Geometry (structure) is integral to complex materials.



A. Lakhtakia

Assessment

Geometry (structure) is integral to complex materials.

Geometry begets chirality and anisotropy.



A. Lakhtakia

Assessment

Geometry (structure) is integral to complex materials.

Geometry begets chirality and anisotropy.

Question: How important are chirality and anisotropy?



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Assessment

Answer: **Isotropic Chiral Materials**
Faraday Chiral Materials

Polarization adjustment
like retro-rockets

Macrostructural Chiral Materials

Polarization filtering



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Assessment

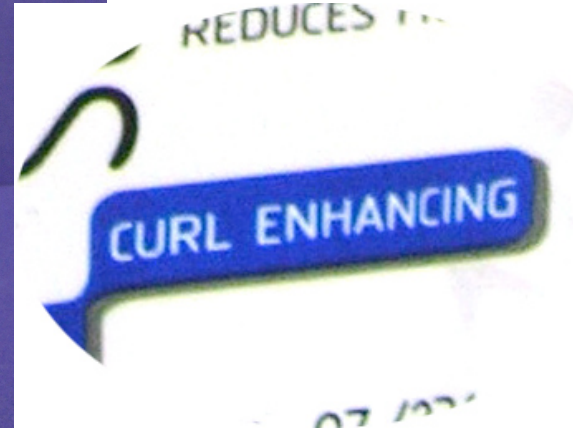
“Large” anisotropy is “easy” to design for and achieve.

“Large” isotropic chirality is not “easy” to design for and achieve.



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Curl Enhancer





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Assessment

“Large” isotropic chirality is not “easy” to design for and achieve.

Focus on nihility

$$\varepsilon = 0, \mu = 0$$

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AN ELECTROMAGNETIC TRINITY FROM “NEGATIVE PERMITTIVITY” AND “NEGATIVE PERMEABILITY”*

Akhlesh Lakhtakia

