

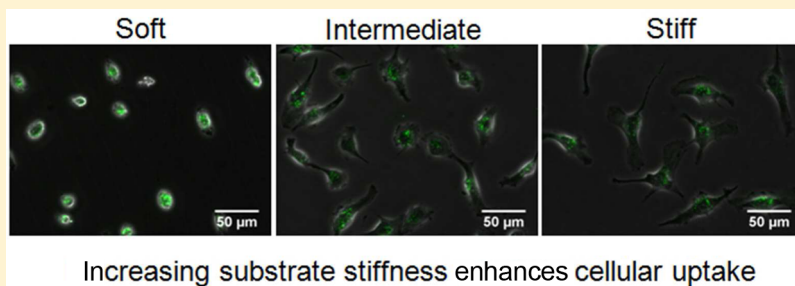
1 Substrate Stiffness Regulates Cellular Uptake of Nanoparticles

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7 **S** Supporting Information



8 **ABSTRACT:** Nanoparticle (NP)-bioconjugates hold great promise for more sensitive disease diagnosis and more effective
9 anticancer drug delivery compared with existing approaches. A critical aspect in both applications is cellular internalization of
10 NPs, which is influenced by NP properties and cell surface mechanics. Despite considerable progress in optimization of the NP-
11 bioconjugates for improved targeting, the role of substrate stiffness on cellular uptake has not been investigated. Using
12 polyacrylamide (PA) hydrogels as model substrates with tunable stiffness, we quantified the relationship between substrate
13 stiffness and cellular uptake of fluorescent NPs by bovine aortic endothelial cells (BAECs). We found that a stiffer substrate
14 results in a higher total cellular uptake on a per cell basis, but a lower uptake per unit membrane area. To obtain a mechanistic
15 understanding of the cellular uptake behavior, we developed a thermodynamic model that predicts that membrane spreading area
16 and cell membrane tension are two key factors controlling cellular uptake of NPs, both of which are modulated by substrate
17 stiffness. Our experimental and modeling results not only open up new avenues for engineering NP-based cancer cell targets for
18 more effective in vivo delivery but also contribute an example of how the physical environment dictates cellular behavior and
19 function.

20 **KEYWORDS:** *Substrate stiffness, cellular uptake, nanoparticles, cellular spreading, membrane tension, cancer therapy*

21 **T**he past decade has witnessed rapid progress in the design
22 of surface functionalized and bioconjugated nanoparticles
23 (NPs) for highly targeted cancer diagnosis and therapy. In
24 order to optimize cellular uptake of NPs for enhanced
25 diagnostic imaging and/or drug dosage in diseased organs, in
26 vitro experiments^{1–3} and thermodynamic^{4–7} and kinetic^{8,9}
27 analyses have been conducted to elucidate how the size, shape,
28 and surface chemistry of NPs affect endocytosis-mediated
29 cellular uptake. However, to date there have been no studies
30 aimed at elucidating the role of local physical environments on
31 endocytosis of NPs despite the widely known effect of
32 extracellular matrix (ECM) mechanics on cellular responses
33 and disease states in vivo. Advances in mechanobiology have
34 established that mechanical cues modulate many cell responses,
35 though such modulation is cell-type dependent. In particular,
36 substrate stiffness has been shown to be a regulatory factor for
37 cell spreading,¹⁰ locomotion,^{11–13} differentiation,^{14–16} and
38 proliferation.¹⁷ It is possible, therefore, that stiffness-regulated
39 cell responses also modulate NP uptake kinetics, and this

phenomenon could be utilized as a new avenue to optimize NP
40 designs for more effective in vivo delivery. 41

The studies of the effect of substrate stiffness on cellular
42 uptake of NPs have other significant implications. In relevant
43 physiological conditions, tumor tissues have different stiffnesses
44 as they go through different stages.¹⁸ In addition, a metastatic
45 cancer cell migrates along tissues of varying stiffness.¹⁹ If the
46 mechanical properties of ECM indeed mediate cellular uptake,
47 such an effect should be taken into account in the optimization
48 of NP-based cancer cell targeting for inhibiting tumor growth
49 and cancer cell metastasis. Though much is known separately
50 about cell responses to their local physical environments and
51 the size/shape dependent cellular uptake of NPs, the effect of
52 ECM stiffness on cellular uptake, despite its high clinical and
53 biological relevance, remains unexplored. 54

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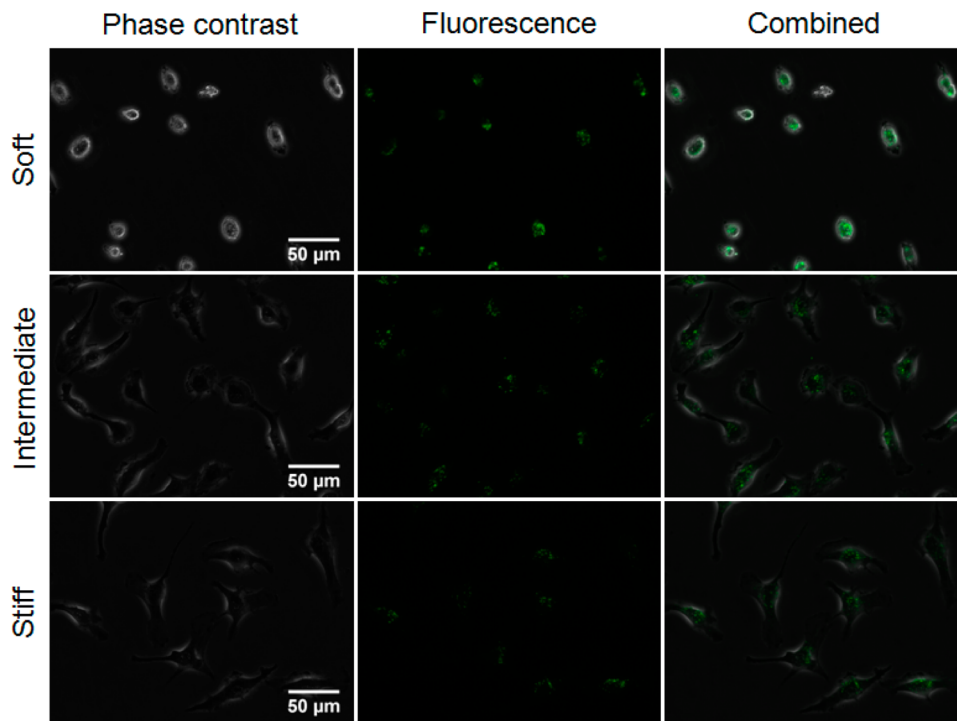


Figure 1. Cellular uptake of fluorescent NPs by the cells on PA substrates of varying stiffness. Cells were cultured on substrates for 12 h before loading the NPs. Images were taken after loading the NPs for 6 h.

55 While the substrates on which cells are cultured *in vitro*,
 56 commonly glass or plastic materials coated with biomolecules
 57 (e.g., fibronectin), can mimic the biochemical interactions
 58 between cells and ECM *in vivo*, their stiffness is usually several
 59 orders of magnitude different from that of ECM *in vivo*.
 60 Herein, we report, using PA hydrogels of varying stiffness as
 61 model substrates and fluorescent polystyrene NPs, that the
 62 total cellular uptake of NPs by BAECs increases with increasing
 63 gel stiffness. To gain insight into the underlying mechanisms,
 64 we characterized the relationship between substrate stiffness,
 65 spreading area, apical stress fiber formation, and apical
 66 membrane tension. By measuring fluorescence lifetime of a
 67 lipophilic dye using time-correlated single photon counting
 68 (TCSPC), we deduced that increasing substrate stiffness leads
 69 to increased membrane tension. The increased tension
 70 correlates with increased apical actin fiber formation, as
 71 confirmed by confocal microscopy imaging. A thermodynamics
 72 model complementary to the experimental characterization was
 73 then established to rationalize the role of substrate stiffness on
 74 the cellular uptake. The model predicts that cell membrane
 75 surface area and membrane tension are the governing factors
 76 that dictate the cellular uptake of NPs, both of which are
 77 modulated by the substrate stiffness. The findings provide new
 78 insight into the rational design of NP-based therapeutic and
 79 diagnostic agents for disease detection and treatment.

80 The following three groups of PA gel substrates were
 81 prepared: soft (8% acrylamide/0.02% bis-acrylamide), inter-
 82 mediate (8% acrylamide/0.05% bis-acrylamide), and stiff (8%
 83 acrylamide/0.08% bis-acrylamide). The Young's moduli of the
 84 three gels were measured via indentation experiments using an
 85 atomic force microscope (AFM) and found to be 1.61 ± 0.11
 86 kPa (soft), 3.81 ± 0.12 kPa (intermediate), and 5.71 ± 0.51 kPa
 87 (stiff), respectively, all of which fall within the physiological
 88 range of biological tissues.¹⁵ We chose the stiffness of this range
 89 since the cell line (BAECs) used in our experiments is mostly

sensitive to this range, as suggested by the previous studies.¹⁰
 To facilitate cell adhesion, the gels were surface-coated with
 91 fibronectin bridged via Sulfo-SANPAH. The density of
 92 fibronectin on the PA gel surface is independent of the gel
 93 stiffness, as reported in previous studies.^{10,20}

94
 95 BAECs were cultured on PA gel substrates for 12 h before
 96 loading NPs into the culture media. Phase contrast images
 97 clearly show that the gel stiffness modulates cell morphology
 98 (Figure 1). Cells on soft substrates rounded up, while cells on
 99 intermediate and stiff substrates were much more spread. Cells
 100 on intermediate substrates exhibited relatively smaller size
 101 compared to those on stiff substrates. This qualitative
 102 observation agrees well with the previous reports by Yeung et
 103 al.¹⁰ We further measured the projected spreading area of the
 104 cells at certain specified time points upon loading the NPs. The
 105 results showed that cell spreading areas remained nearly
 106 unchanged after the initial 12 h incubation (Figure 2). These
 107 areas were 276.0 ± 58.1 , 1025.1 ± 272.7 , and 1453.9 ± 266.7
 108 μm^2 , for soft, intermediate, and stiff substrates, respectively. It
 109 should be pointed out that the total apical cell surface areas
 110 might be underestimated by the projected area since cells have
 111 a nonzero thickness, especially for the cells grown on soft
 112 substrates. The cells grown on soft substrates are around 1.5-
 113 fold thicker than those on the intermediate and stiff substrates,
 114 while the thickness difference between cells on intermediate
 115 and stiff substrates is hardly differentiable from their confocal
 116 stacks. Treating the round cells as hemispherical, the cells on
 117 soft substrates have a maximum apical cell surface area of 562.3
 118 $\pm 141.3 \mu\text{m}^2$, which is still considerably smaller than the others.

119 We also examined the influence of gel stiffness on the stress
 120 fiber formation in the cells by staining F-actin with phalloidin.
 121 Figure 3 shows representative maximal intensity z-projections
 122 of F-actin distribution within the cells and corresponding F-
 123 actin distribution near the apical surface of the cells on the PA
 124 gel substrates. Stress fibers were absent in the round cells on

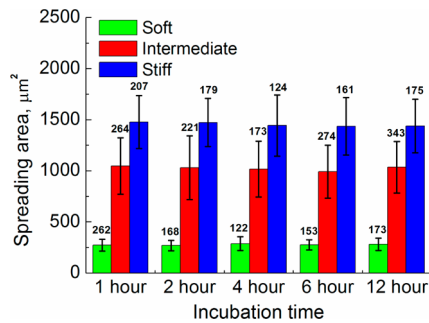


Figure 2. The spreading area of the cells on the PA gels of varying stiffness. Cells were cultured on substrates for 12 h before loading the NPs (the time clock is set to be zero at the time of loading the NPs). The cell spreading reached a steady-state level after 12 h incubation ($p > 0.16$ for all the three types of substrates using the single-factor ANOVA test). The difference in the spreading area between any two groups at each time point of measurement is statistically significant ($p < 0.01$ using Student *t*-test). The numbers of cells studied for each group are listed above each corresponding column.

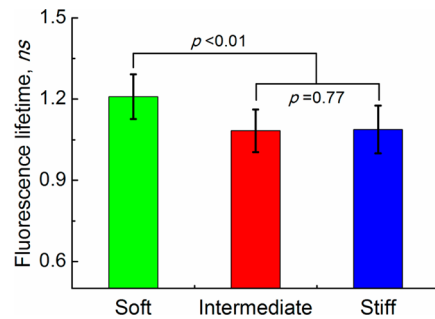


Figure 4. Fluorescence lifetime of DiI-C₁₂ in apical membranes of the cells grown on PA gels of varying stiffness (3 repeated experiments, 10 cells/experiment).

varying stiffness. The DiI fluorescence lifetime in cells on soft substrates ($\tau_{\text{soft}} = 1.209 \pm 0.083$ ns) is significantly longer than that on either intermediate ($\tau_{\text{inter}} = 1.083 \pm 0.079$ ns) or stiff ($\tau_{\text{stiff}} = 1.088 \pm 0.088$ ns) substrates, indicating that the cell membrane on soft substrates was much less tense. However, no significant difference in fluorescence lifetime was detected between intermediate and stiff substrates.

We used carboxylated polystyrene NPs (PS-COOH) with diameters of 100 nm in our uptake study. The yellow-green fluorescent dye embedded inside the NPs with minimal photobleaching allows us to quantify cellular uptake at any culture time. Assuming that the fluorescence intensity is proportional to the number of fluorescent NPs, the average fluorescence yield per unit area within individual cells indicates the efficiency of cellular uptake of NPs. It should be mentioned that in extracting the fluorescence intensity, the seeded cells were extensively washed using DPBS to remove the NPs adhered to the cell surface. Therefore, the fluorescence intensity accounts only for the internalized NPs, as confirmed by our three-dimensional confocal images (see Figure S1 in Supporting Information). Figure 5a shows that cellular uptake per unit area decreases with the increasing substrate stiffness at all times measured. Compared to the cells on intermediate and stiff gels, round cells on soft gels uptake NPs much more efficiently. It should be pointed out that the fluorescence intensity per unit area is to certain extent overestimated here due to the underestimation to the apical surface area, especially for the cells grown on soft substrates. However, even if we treat the cells grown on soft substrates as hemispherical, in which case the apical surface area is twice as much as the measured projected area, its fluorescence intensity per unit area is still

soft gels and only discrete bright spots were observed. Cells on stiff gels exhibited much more aligned stress fibers than those on the intermediate gels. These observations are in good agreement with previous studies.^{10,21} These features were also evident on the apical surface of the cell where NPs come into contact first (bottom panel in Figure 3). Considering the cell morphologies on substrates of varying stiffness, the results also show a positive correlation between the cell spreading and actin stress fiber formation.

Previous studies suggested a positive correlation of stress fiber formation and cell stiffness,^{21,22} yet no direct evidence has been established concerning the relation of stress fiber formation and membrane tension. Conventional approaches to measure cell membrane tension often involve either indenting or tethering cell surface using atomic force microscope²³ or optical tweezer.²⁴ The applied mechanical stimulation might disturb cell organization and induce cell remodeling.^{25,26} Our recent molecular dynamics simulation results suggested that the fluorescence lifetime of DiI chromophores embedded in lipid bilayer is an effective indicator of relative membrane tension,²⁷ which was later confirmed in experiments.²⁸ Briefly, membrane tension reduces membrane lipid order and headgroup viscosity, and consequently reduces the DiI fluorescence lifetime. Figure 4 shows the fluorescence lifetime of DiI-C₁₂ within cells on PA gels of

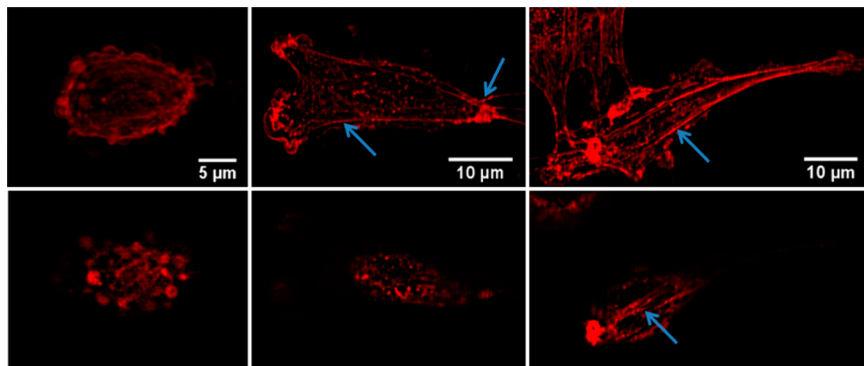


Figure 3. The distribution of F-actin within the cells on the PA gels: soft (left), intermediate (middle), and stiff (right). (Top) maximal intensity *z*-projections; (bottom) F-actin distribution near the cell apical surface. Arrows in the figure highlight the well-aligned stress fibers.

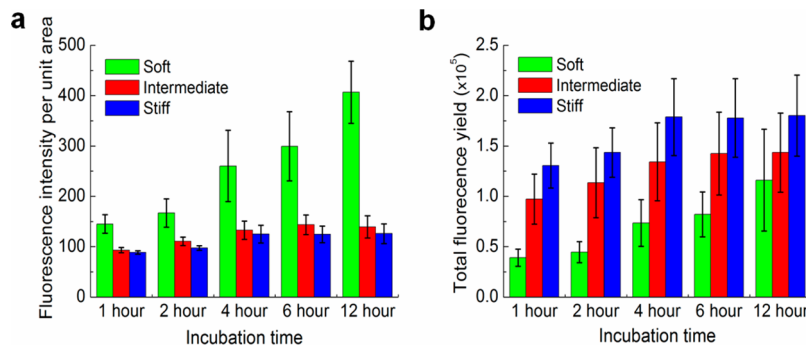


Figure 5. Cellular uptake of NPs on the gels of varying stiffness. (a) The fluorescence yield per unit area of individual cells. (b) The total fluorescence yield of individual cells obtained by multiplying fluorescence per unit area by the projected cell area on a cell by cell basis. In both (a,b), the difference between any two groups at any specified time point of measurement is statistically significant ($p < 0.01$ using Student t -test).

181 higher than that of the cells on intermediate and stiff substrates
 182 after 6 h. The uptake level reached a plateau after 4 h for cells
 183 on both intermediate and stiff gels, but continued to increase
 184 even after 12 h for cells on soft gels. This difference might
 185 originate from the distinct membrane tension levels of the cells
 186 on gels of varying stiffness. Compared to cells on soft gels, the
 187 higher membrane tension of the cells on intermediate and stiff
 188 gels resists NPs from entering cells since the uptake involves a
 189 higher membrane deformation energy penalty, as detailed next
 190 in our theoretical section. Overlaying the phase contrast and
 191 fluorescence images shows that the fluorescence signal was
 192 concentrated around cell nuclei, but nearly undetectable near
 193 the cell protrusions (Figure 1). This clear contrast might be
 194 indicative of the role of membrane tension in inhibiting cellular
 195 uptake at the cell protrusions, where cell membrane was highly
 196 tensed as a consequence of richly developed stress fibers.^{29,30}
 197 We further quantified the total fluorescence yield of
 198 individual cells on the gels by multiplying the fluorescence
 199 intensity per unit area (Figure 5a) by the projected area (Figure
 200 2) on a cell by cell basis. As seen in Figure 5b, the total
 201 fluorescence yield in individual cells increases with increasing
 202 gel stiffness at all time points measured. Similar to the kinetics
 203 of the fluorescence intensity per unit area, the total fluorescence
 204 yield of cells on intermediate and stiff gels reached a plateau
 205 after 4 h but not for those on soft gels within 12 h. The uptake
 206 level by cells on soft gels might surpass that by cells on
 207 intermediate and stiff substrates after prolonged incubation
 208 time. However, since NPs usually are cleared out within several
 209 hours after intravenous injection,³¹ the uptake level after 12 h
 210 has little clinical implication and thus is beyond the scope of
 211 our study.

212 Since the NPs used in our experiments were free of surface
 213 conjugation with ligand molecules, it is likely that endocytosis
 214 occurred in a nonspecific manner. From an energetic point of
 215 view, NP internalization is driven by nonspecific adhesion
 216 energy but penalized by membrane bending and tension
 217 energies. To arrive at a generalized understanding of the cellular
 218 uptake behavior, we next perform thermodynamic analyses of
 219 the parametric dependence of NP uptake on membrane area
 220 and cell surface mechanics and compare these model results
 221 with the experimental data. We simplify the experimental
 222 settings by considering a cell with a surface area M , bending
 223 modulus κ , and membrane tension σ immersed in a solution
 224 with dispersed NPs of surface area A_0 and bulk density φ . Here
 225 the membrane tension includes the contributions from both the
 226 plasma membrane and the cortical layer underneath the
 227 membrane. We denote the number of NPs with a wrapped

area A as n_A . Through a thermodynamic analysis (see 228
 Supporting Information for detailed derivation), the wrap- 229
 ping-size distribution of NPs upon the NP-cell system reaches a 230
 steady state can be written as 231

$$n_A = M\varphi \exp(\mu A - w_A) \quad (1) \quad 232$$

where μ is the nonspecific adhesion energy density and w_A is 233
 the associated membrane deformation energy (including both 234
 bending and tension energies) when an NP is wrapped by an 235
 area of A . The NPs are fully wrapped and endocytosed when A 236
 $= A_0$ at which $w_A = \sigma A_0 + 8\kappa\pi$. Therefore, the total cellular 237
 uptake N can be written as 238

$$N = M\varphi \exp[A_0(\mu - \sigma) - 8\kappa\pi] \quad (2) \quad 239$$

The cellular uptake per cell surface area is 240

$$\frac{N}{M} = \varphi \exp[A_0(\mu - \sigma) - 8\kappa\pi] \quad (3) \quad 241$$

Equations 2 and 3 provide the basis for the comparison with 242
 the in vitro experimental data. Similar formula has been derived 243
 for receptor-mediated cellular uptake of NPs,^{4,5} and the 244
 predictions agree reasonably well with corresponding exper- 245
 imental data.^{1,32,33} From eq 2, the total cellular uptake increases 246
 linearly with increasing cell surface area, but decreases 247
 exponentially with increasing membrane tension, assuming 248
 that the membrane bending modulus κ remains a constant. The 249
 theoretical predictions support our experimental data in that 250
 NP uptake increases with increasing area (Figure 5b and eq 2), 251
 and decreases with increasing membrane tension (Figure 5a 252
 and eq 3). 253

We conclude by noting that substrate stiffness regulated 254
 cellular uptake originates from mechanotransduction of cells. 255
 As it has been well established, cells of many different types 256
 sense physical cues and respond by emanating a series of 257
 biochemical signals that modulates cell spreading, cytoskeletal 258
 remodeling, and morphological evolution. Though membrane 259
 mechanics modifications due to the change of substrate stiffness 260
 need to be further quantified, the present work reports the first 261
 experimental evidence regarding how local physical environ- 262
 ment regulates cellular uptake of NPs and provides an example 263
 of exploiting mechanotransduction in nanomedicine. Consid- 264
 ering the dynamically changing physical environments that cells 265
 may encounter, for instance, in tumor tissues during different 266
 growth stages and in different organs over which metastatic 267
 cancer cells migrate, our fundamental understanding of the 268
 regulatory role played by the substrate stiffness opens up a new 269

270 dimension to NP optimization for enhanced chemotherapeutic
271 effects and amplified diagnostic signals.

272 ■ ASSOCIATED CONTENT

273 ● Supporting Information

274 Experimental methods and detailed thermodynamical analysis
275 are provided. This material is available free of charge via the
276 Internet at <http://pubs.acs.org>.

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283 Notes

284 The authors declare no competing financial interest.

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